

IIC International Training Centre for Conservation
 13-18 Nov 2016 The Palace Museum, Beijing
Non-Destructive Analysis in the Conservation of Cultural Heritage



Optical Coherence Tomography

14 November 2016
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Imaging & Sensing for Archaeology, Art history & Conservation (ISAAC)

- Instrument development & data analysis (hardware + software)






Imaging & Sensing for Archaeology, Art history & Conservation (ISAAC)

- Applications to art conservation, art history & archaeology











Overview

- What is OCT?
- How does it work?
- How to interpret an OCT image?
- Example applications of OCT to different conservation, art history & archaeology problems
- Types of OCT
 - Time domain versus Fourier domain
 - Raster scan versus full field/parallel scan
 - Functional OCTs: Polarisation sensitive OCT, Spectroscopic OCT, Doppler OCT
- Which OCT is right for your application?

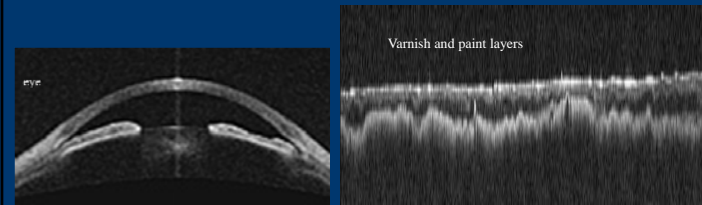


What is OCT? How does it work?



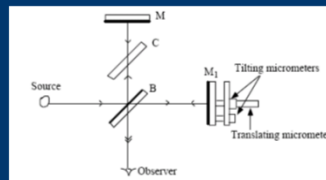
Optical Coherence Tomography (OCT) – an imaging Michelson interferometer

- Designed for *in vivo* 3D scanning of the eye
- Needs a broadband laser for high depth resolution
- Capable of imaging subsurface microstructure of transparent and semi-transparent material in the NIR

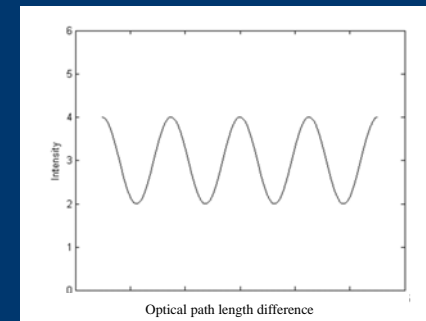


A. Michelson

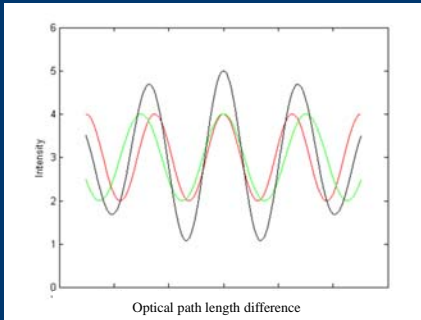
- 1881: invented Michelson interferometer for the famous Michelson-Morley experiment to detect ether
- 20th-21st century: Michelson interferometer widely used in a variety of applications from spectroscopy to metrology, Astronomy, Chemistry, Biomedical research...



Interference of monochromatic light



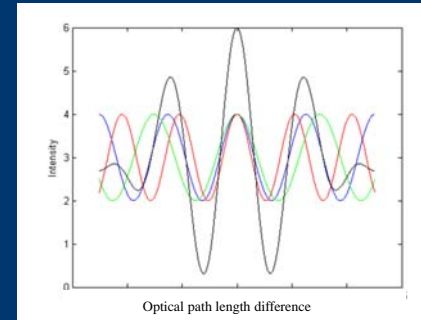
Fringes due to two monochromatic light sources of different wavelengths



Black curve is the sum of the two



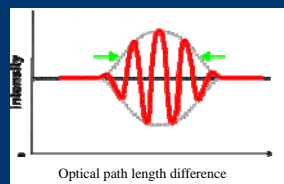
Fringes of 3 monochromatic light sources of different wavelengths



Black curve is the sum of the 3 fringes

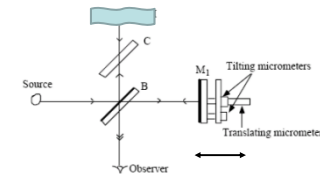
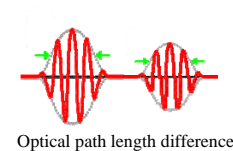


The broader the spectral bandwidth of the source, the narrower the fringe envelope



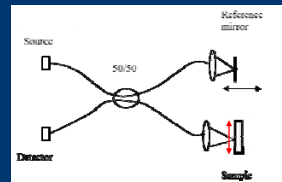
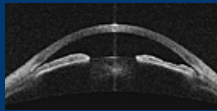
Metrology - distance measurements

- White light interferometer
 - Replace one of the mirrors with the object to be measured
 - Allow the second mirror to scan back & forth



Optical Coherence tomography (OCT)

- Imaging white light interferometer
- Free space or fibre based Michelson interferometer using special light sources – broadband lasers (e.g. a superluminescent diode = SLD)
- Virtual cross-section or 3D subsurface volume imaging
- The name OCT was coined in 1991 and applied to the 3D imaging of the eye
- OCT has been applied to conservation/heritage science since 2004*



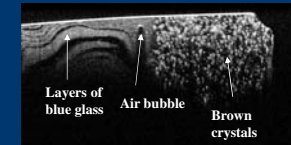
* Yang et al., Archaeometry, 2004
Targowski et al. Studies in Conservation, 2004
Liang et al. SPIE, 2004;
Liang et al. Optics Express 2005



OCT image of ancient Egyptian core formed glass



British Museum reference collection



5 mm



A microscopy image of the cross-section at the broken edge



OCT virtual cross-section images

Liang et al. SPIE 2008

Resolution

- Advantage of OCT: depth resolution decoupled from transverse resolution
- Transverse resolution determined by

$$\Delta x = 1.22 \frac{\lambda}{D} f$$

where D is the diameter of the beam, f is the focal length

- Depth (axial) resolution give by

$$\delta z = \frac{2 \ln 2}{\pi n} \frac{\lambda_0^2}{\Delta \lambda}$$

where λ_0 , $\Delta \lambda$ are the central wavelength and bandwidth of the laser, n is the refractive index of the sample




How to interpret OCT images?

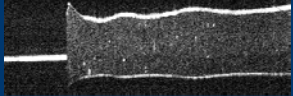


Physical & optical thickness - refractive index of paint layer

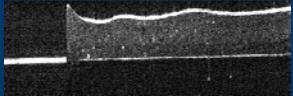
- OCT measures the optical thickness = (Refractive index) x (thickness)




Madder lake in linseed oil painted on a glass slide




Raw OCT cross-section image




True cross-section image after correction for refractive index

Spring et al. ICOM-CC 2008 


Optical scattering & absorption properties of paint limitation to penetration depth




Single scattering
Madder lake in oil




Single scattering ~ multiple scattering
Madder lake in egg tempera



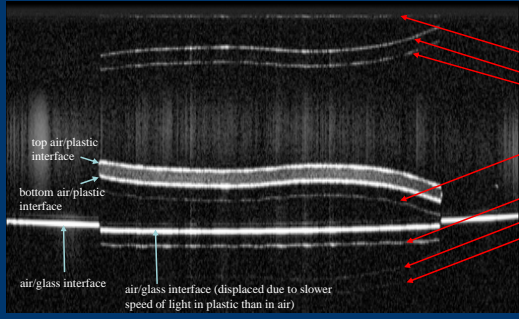
Multiple scattering dominates
Ti White in oil



Absorption
Charcoal

Liang et al. Applied Physics B 2013 

Ghost images or image artefacts




Artefacts due to interference between interfaces in the sample and inter-reflections between interfaces in

top air/plastic interface


bottom air/plastic interface

air/glass interface

air/glass interface (displaced due to slower speed of light in plastic than in air)


A transparent plastic sheet with a finite thickness placed above a flat glass surface 

OCTs in ISAAC lab (those developed in our lab are in red)





Ultra-high resolution (UHR) OCT at 810 nm

λ_0 (nm)	δz (μm)	Δx (μm)	Speed (fps)	Penetration depth
810	1.2	7	50	Moderate
930	4.5	9	2	Moderate
1300	4	7-13	100	Moderately deep
1960	6	17	3	Deep



Commercial OCTs at 930 nm, 1300 nm



Long wavelength OCT at 1960 nm 

Conservation applications

- Conservation assessment
- Monitoring cleaning of varnish
- Monitoring drying of varnish
- Detecting extent and area of loss
- Paint cross-section and degradation
- Early warning of glass degradation
- Degradation of enamel
- Revealing cracks in different depth
- Measuring speed of water transport in rocks



Gaspard DUGHET

Landscape with a Storm (NG 36)

Date: c.1653-54

Date entered National Gallery Collection: 1824

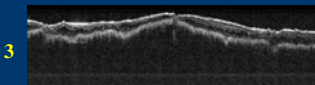
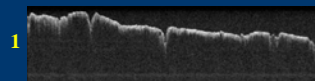


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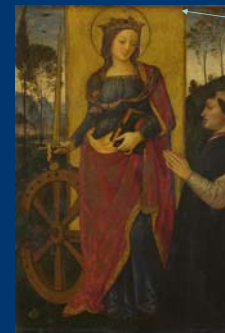


VARNISH LAYERS

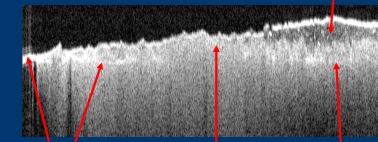


Monitoring cleaning of varnish

=> monitoring the cleaning of varnish with OCT



Old yellowed hazy varnish layer



Gold layer

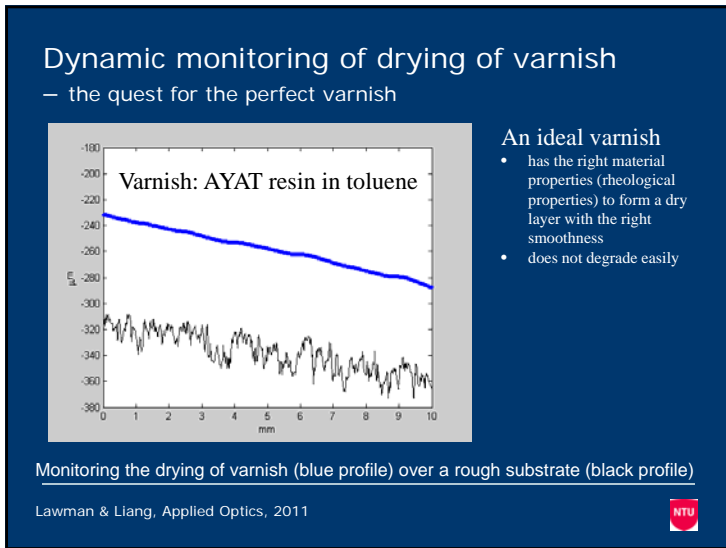
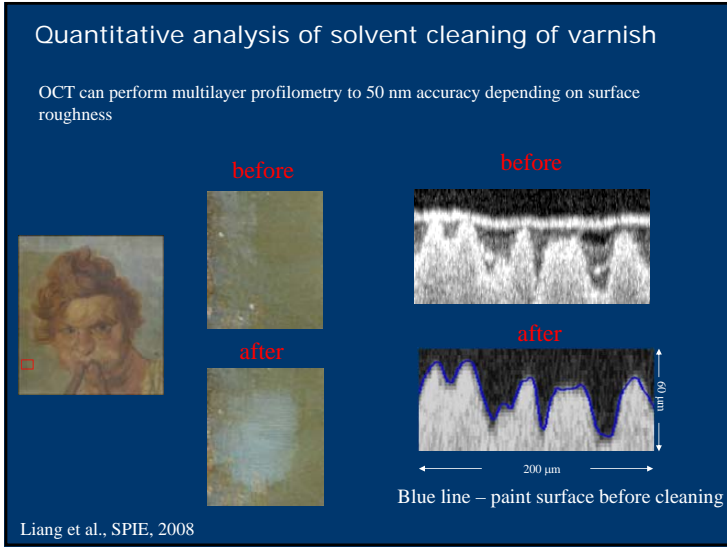
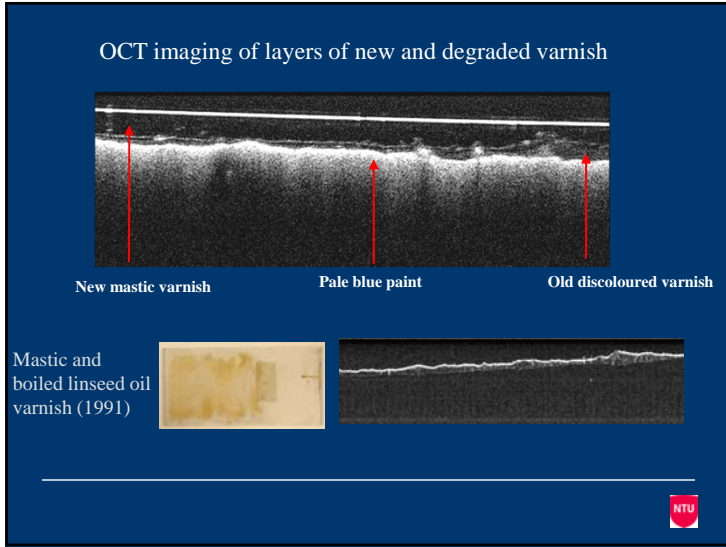
Blue paint layer
(ultramarine)

Gold layer

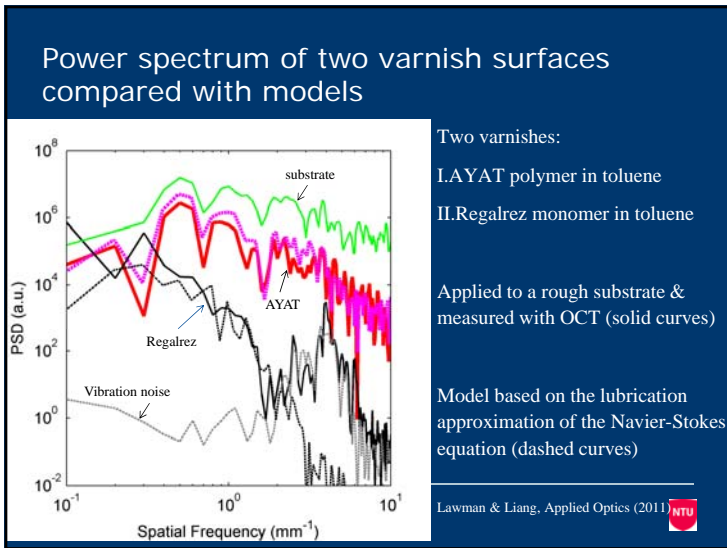
Saint Catherine of Alexandria with a Donor (1480-1500) by Pintoricchio (National Gallery No. 693)

Liang et al. Conservation Science 2007



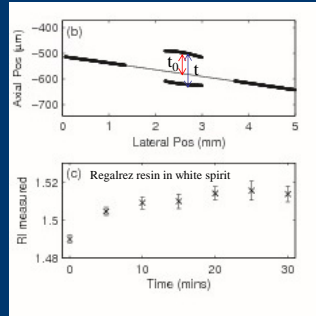


- #### An ideal varnish
- has the right material properties (rheological properties) to form a dry layer with the right smoothness
 - does not degrade easily

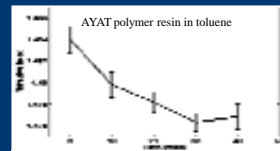


Dynamic monitoring of drying varnish

refractive index change as a measure of evaporation rate & concentration change



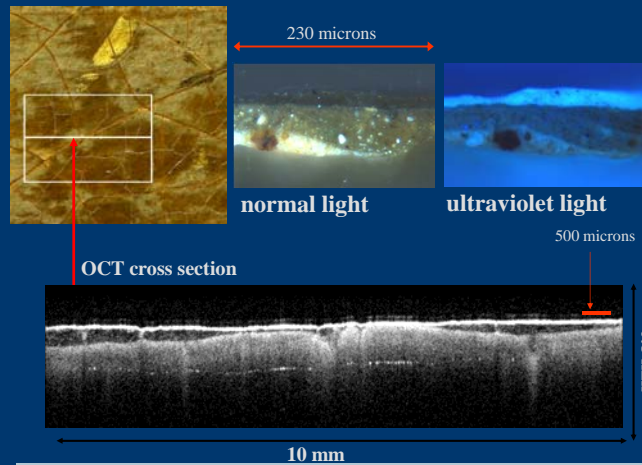
$$n = t/t_0$$



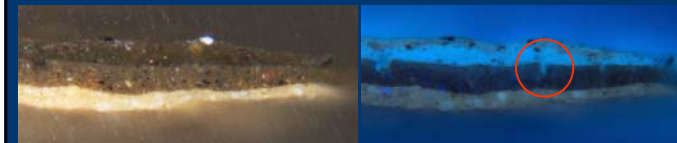
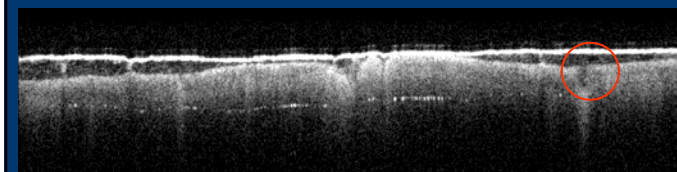
Lawman & Liang Applied Optics 2011



Aelbert Cuyp, *The Large Dort* (NG961), oil on canvas, about 1650



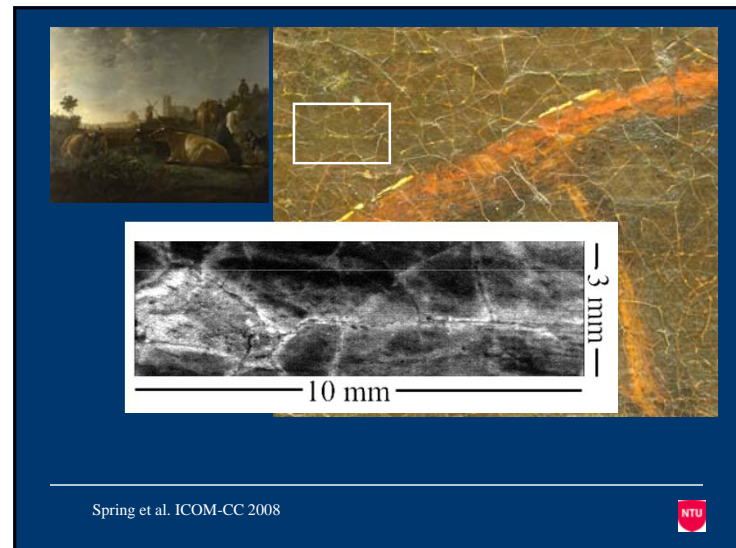
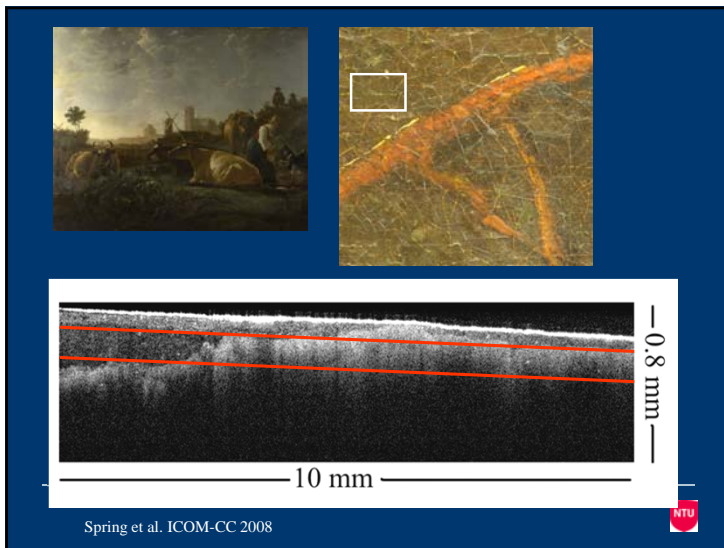
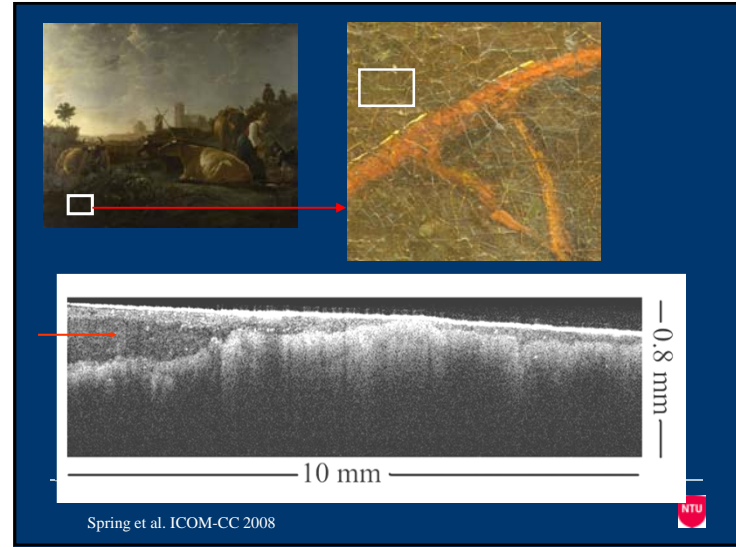
Spring et al. ICOM-CC 2008



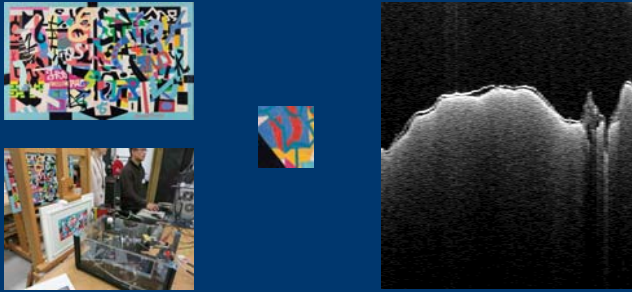
normal light

ultraviolet light





UHR OCT imaging of modern painting: The Mellow Pad (1945-1951) by Stuart Davis



Brooklyn Museum
02/2016

Ford et al., AIC, 2016 (in press)



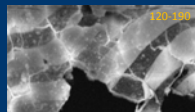
OCT imaging of Mogao cave paintings in the Gobi desert along the Silk Road (UNESCO world heritage site)

- Depth resolved crack patterns

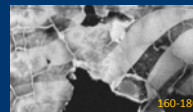


OCT in situ imaging of Mogao cave paintings in the Gobi desert along the Silk Road (UNESCO world heritage site)

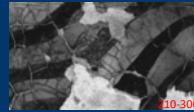
OCT thin slices of G2



Surface cracks



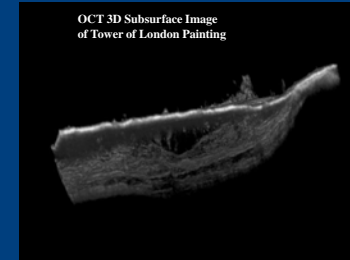
Surface cracks + a few cracks
underneath the surface



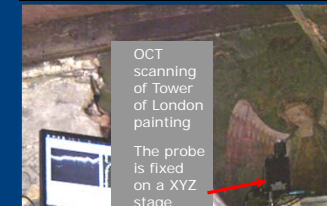
cracks underneath the surface



OCT Imaging of Wall Painting at Tower of London



OCT 3D Subsurface Image
of Tower of London Painting



OCT
scanning
of Tower
of London
painting
The probe
is fixed
on a XYZ
stage

Liang et al. SPIE, 2011

Monitoring water transport in rocks – probing vulnerability of rock art panels



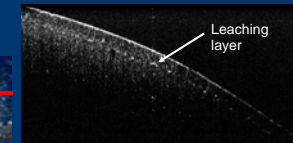
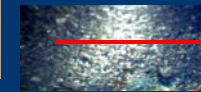
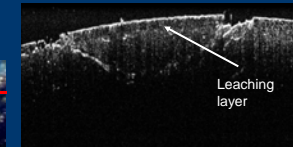
Rock art panels in Northumbria, UK

Bemand & Liang, Applied Optics 2013



Deterioration of ancient Egyptian glass

British Museum Collection



10 mm

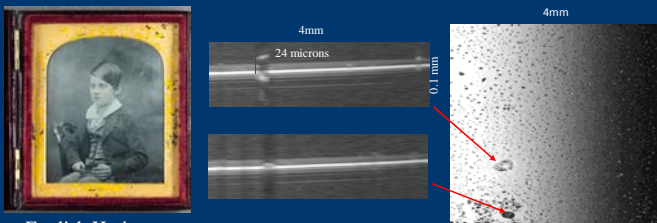
1.6 mm

Liang et al, SPIE 7139, 2008



Degradation of cover glass for Daguerreotype – UHR OCT image

- 1839 Daguerre invented Daguerreotypes
- Down House displays six Daguerreotypes of the Darwin children with their original cover glass from 1842-1851



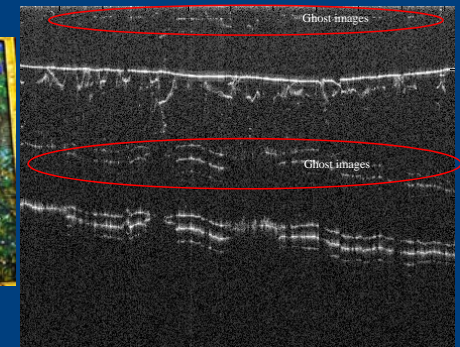
English Heritage



UHR OCT virtual cross-section image of enamel in English Heritage collection at Ranger House



Imaging degradation of Limoges enamel



4 mm

1 mm

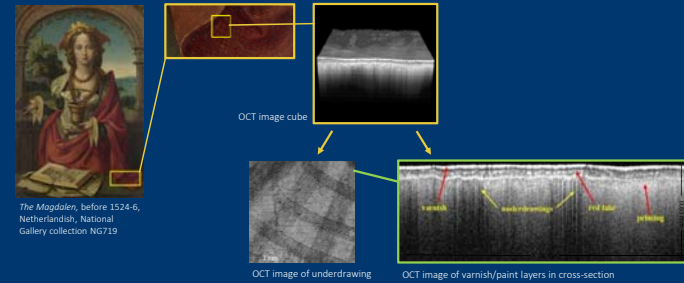


Art history applications

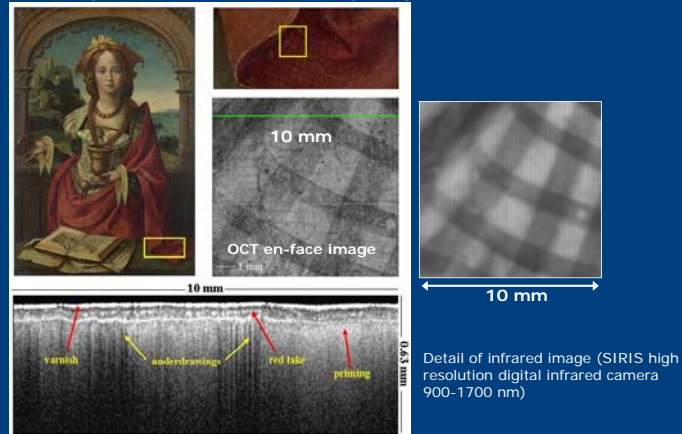
- Underdrawings
- Paint sequence
- Paper identification



Imaging underdrawing of paintings



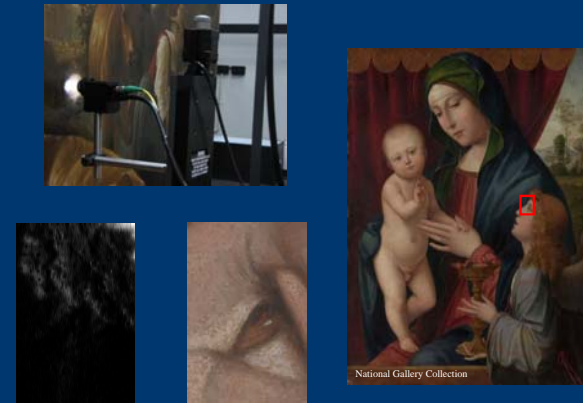
OCT gives the best underdrawing images

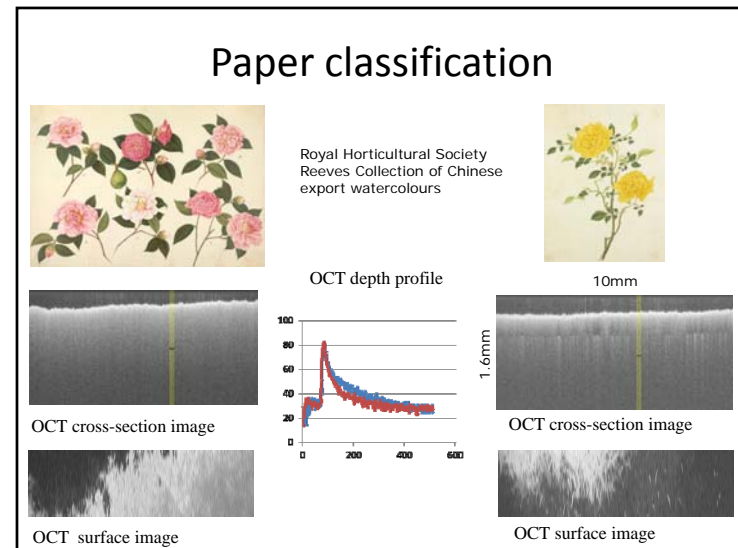
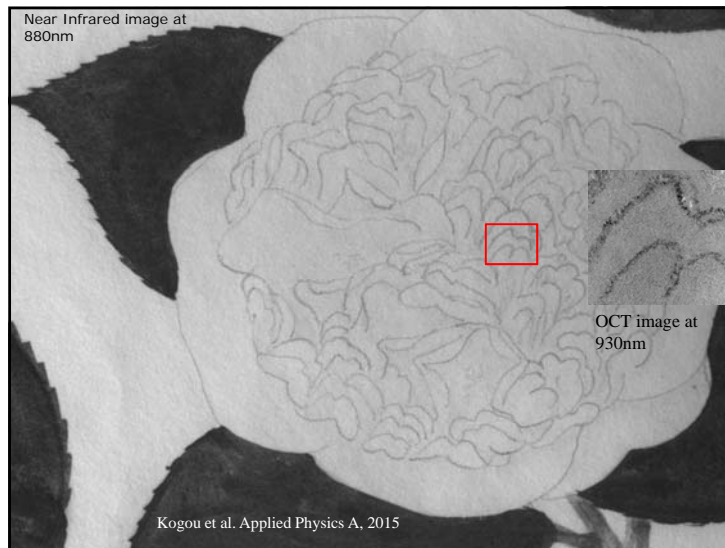
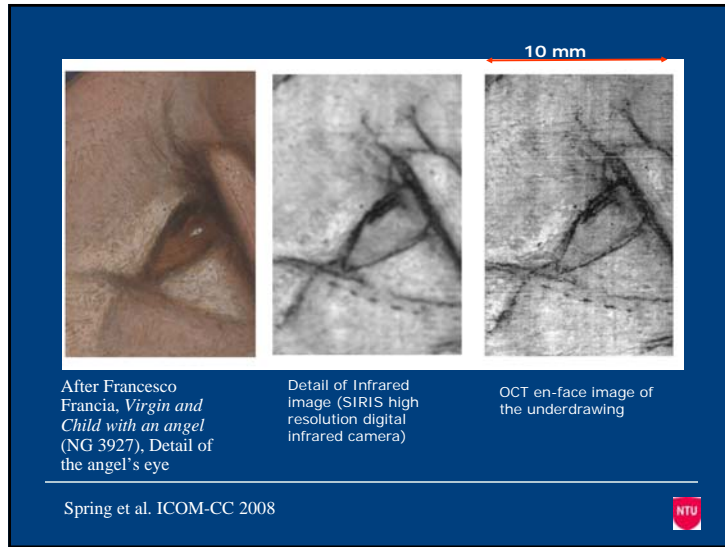


Liang et al. Conservation Science 2007



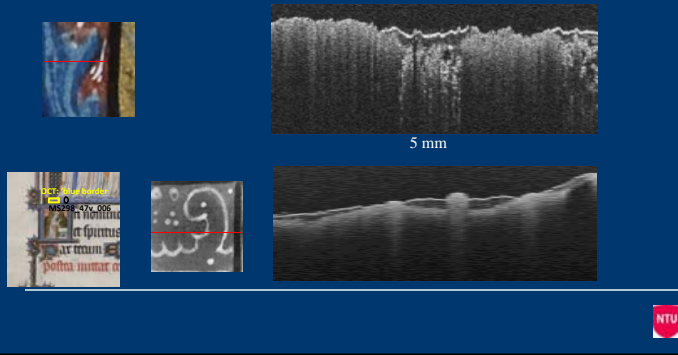
OCT imaging of underdrawings



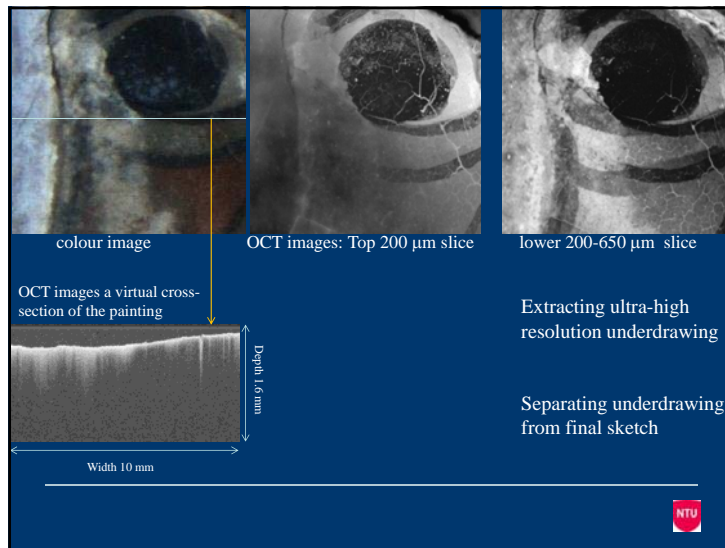


Manuscript on parchment from the Fitzwilliam Museum

- UHR OCT cross-section imaging of the paint thickness & sequence



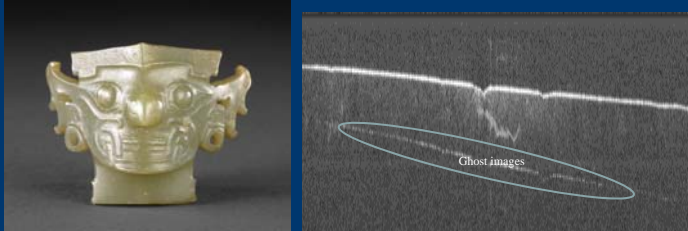
OCT in situ imaging of Mogao cave paintings in the Gobi desert along the Silk Road (UNESCO world heritage site)



Archaeology applications


- Jade tool marks
- Faience microstructure
- Glass manufacturing

Identification of tool marks



BM 1947.0712.515 , c. 2600-2200 BC, late Shijiahe culture 石家河文化, photo courtesy of British Museum

OCT virtual cross-section image



Identification of tool marks

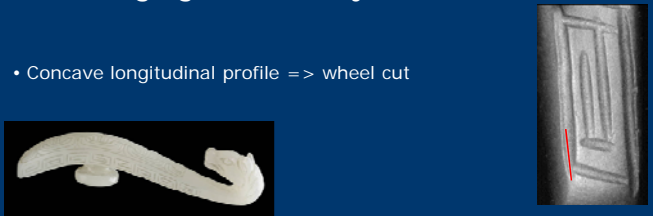


BM 1947.0712.515 , c. 2600-2200 BC, late Shijiahe culture 石家河文化, photo courtesy of British Museum

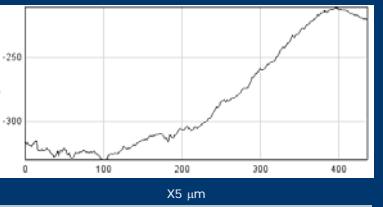


OCT imaging of ancient jade - tool marks


- Concave longitudinal profile => wheel cut



BM collection 1973.0726.130
Ming/Qing dynasty, photo courtesy of British Museum



OCT as profilometer – surface profile plot




BM 1947.0712.507 Han dynasty



SEM image



OCT image



Method of manufacturing Egyptian Shabti

BMRL 16323 – Egyptian Shabti
21st dynasty





LAYER I: surface glaze
LAYER II: glaze - core interaction layer
LAYER III: quartz core



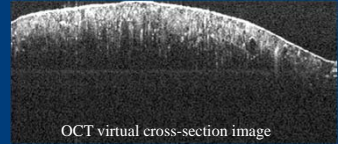


930nm OCT virtual cross-section
6 mm
Penetration depth limited due to Cu pigment – can't see the core

Liang et al., Journal of Archaeological Science, 2012



BMRL 16322 – Egyptian Shabti Late Period

OCT virtual cross-section image

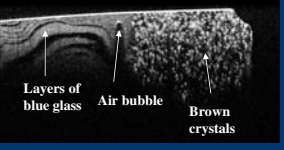
Liang et al., Journal of Archaeological Science, 2012



Non-invasive investigation of ancient manufacturing technique



Non-invasive OCT imaging of ancient Egyptian core formed glass from the British Museum. How are they made?



Layers of blue glass
Air bubble
Brown crystals
5 mm



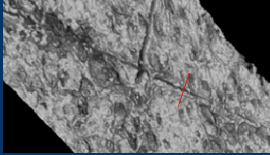


1.6 mm

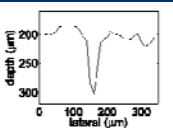
An image of the cross-section at the broken edge

Liang et al. SPIE 2008

Manuscripts – Fadden More Bog bible leather cover (imaged while it was wet)


Follicles in leather



Profile of cut in the leather

National Museum of Ireland collection

Liang, BAR international series 2209, 2011



OCT laser safety

- The most light sensitive pigment realgar (fades in <1 min with microfade) was tested when the laser (central wavelength at 810nm) dwelled at the same spot for 400 times longer than it takes to collect an OCT image => no change in reflectance spectrum
- **OCT is safe** BUT make sure you block the laser when not imaging

	P (mW)	Spot size (μm)	t dwell (s)	I (W/cm^2)	Fluence (J/cm^2)
Microfade	2	500	60	1	60
OCT	1	10	1e-5	1.3e3	0.013
Raman	1	5	1	5.1e3	5100



Which OCT suits your application?

Consider

- Central wavelength
- Axial resolution
- Transverse resolution
- Speed of capture



Types of OCT

➤ Raster scan, parallel or full field OCT

- Raster scan – most commonly used
- Parallel scan/full field – faster but problem with cross-talk

➤ Time or Fourier domain

- Time Domain OCT (TD-OCT)
- Fourier Domain OCT (FD-OCT)

➤ Functional OCT

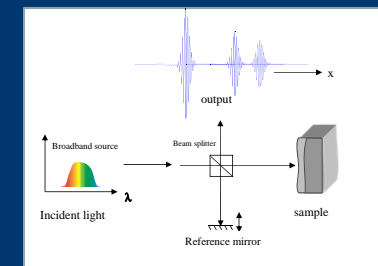
- Spectroscopic OCT
- Polarisation sensitive OCT
- Doppler OCT



Time domain OCT

- Time Domain OCT (TD-OCT) – scanning in depth by moving the reference mirror

SLOW Sensitivity constant with depth



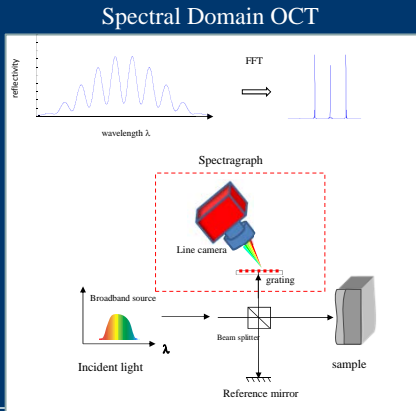
Fourier domain OCT

Reference mirror fixed

- Spectral Domain OCT – the interference signal is registered as a function of wavelength through a spectrometer => FFT => image
- Swept Source OCT – the interference signal is collected by sweeping through the source spectrum => FFT => image

FAST **high sensitivity**

Sensitivity decreases with depth



Resolution

- Advantage of OCT: depth resolution decoupled from transverse resolution
- Transverse resolution determined by

$$\Delta x = 1.22 \frac{\lambda}{D} f$$

where D is the diameter of the beam, f is the focal length

- Depth (axial) resolution give by

$$\delta z = \frac{2 \ln 2}{\pi n} \frac{\lambda_0^2}{\Delta \lambda}$$

where λ_0 , $\Delta \lambda$ are the central wavelength and bandwidth of the laser, n is the refractive index of the sample



Depth range

- To achieve higher transverse resolution means shorter depth of field – depth range reduced

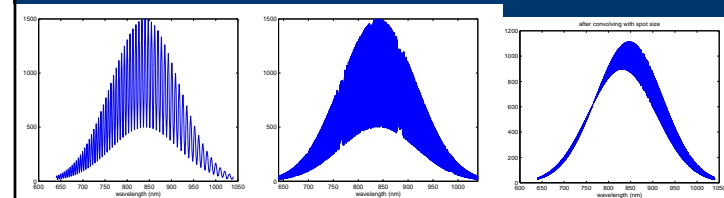
$$z_{\max} = \frac{\pi \Delta x^2}{\lambda}$$

$$\Delta x = 1.22 \frac{\lambda}{D} f$$

- For Fourier domain OCT, the depth range is further limited by the spectral resolution of the spectrometer or the line width of a swept source
- Opacity of the sample is more often the limiting factor for depth range than instrumental depth range



Fourier domain OCT Spectral resolution limits effective depth range



Top interface

Bottom interface

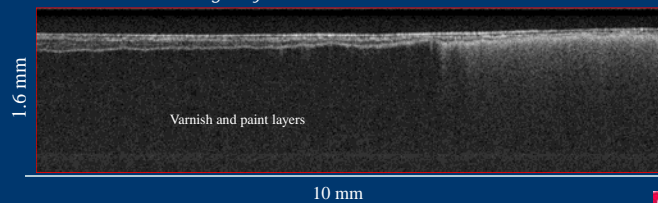
Bottom interface with limited spectral resolution => fringe contrast reduced



Special feature of OCT

- Depth resolution decoupled from transverse resolution
 - Transverse resolution determined partly by the objective lens
 - Depth resolution determined by source spectral bandwidth
 - Most layer structures are smooth but thin

⇒ high depth (axial) resolution is important
 ⇒ *no need for very high transverse resolution*
 ⇒ *better to have large x-y-z field of view*



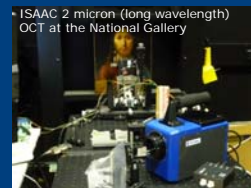
Speed of capture

- Fourier domain OCT is much faster than time domain OCT for the same sensitivity, current speed for capturing an image cube of 500 x 500 depth profiles => 10s to 3s
- BUT for the same OCT the longer exposure time (slower) images are better quality than the images captured with faster speed
- For Fourier domain OCT the maximum speed of capture is determined by the camera readout speed
- For most applications in heritage science speed of capture is a secondary consideration



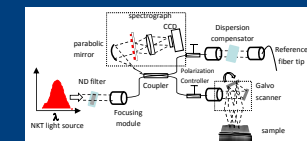
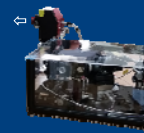
New Generation OCT for Heritage Science

- Increase depth resolution towards $1\mu\text{m}$ while maintaining high sensitivity & dynamic range
 - Axial resolution $\Delta z \propto \lambda_c^2/\Delta\lambda$ => broadband laser (BW ~200 nm) at short central wavelength (~800 nm)
- Increase depth of penetration
 - OCT at longer wavelength (~2 μm) to reduce scattering



Ultra High Resolution (UHR) Fourier Domain OCT at 810nm

- Depth **resolution 1.2 μm** in varnish and paint
- Transverse resolution **7 μm**
- Speed of acquisition ~40 μs per depth profile
 - ⇒ **20 ms per cross-section image**
 - ⇒ 5 mm x 5 mm x 2 mm volume in 10s
- Power incident on object ~1 mW

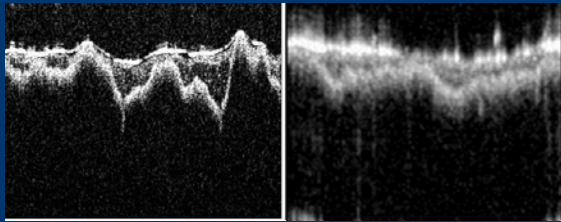


Cheung, Spring, Liang, *Optics Express*, Vol. 23(8), pp. 10145-10157 (2015)



Ultra-high resolution OCT

Ultra-high resolution OCT ~ 1 micron resolution
An image cube in 10s



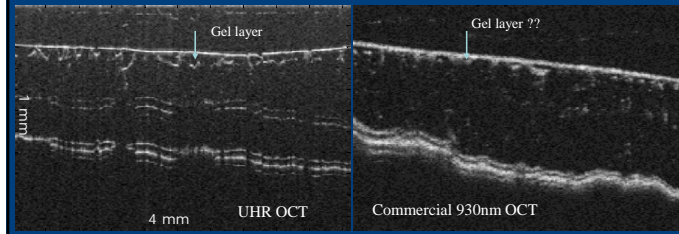
UHR OCT

Commercial 930nm OCT

UHR OCT virtual cross-section image of enamel in English Heritage collection at Ranger House



- Difficult to see the gel layer without high axial resolution

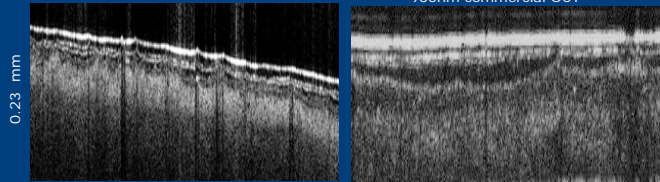


Importance of resolution

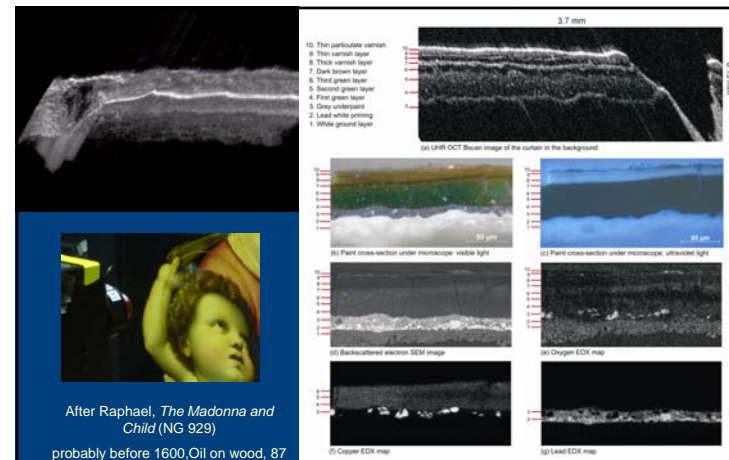


815nm UHR OCT

930nm commercial OCT



4.1 mm



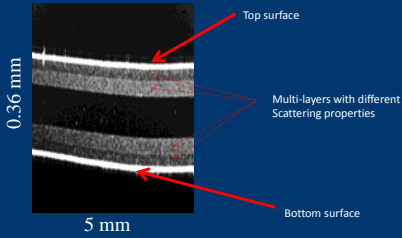
After Raphael, *The Madonna and Child* (NG 929)
probably before 1600, Oil on wood, 87 x 61.3 cm

Cheung, Spring, Liang, *Optics Express*, Vol. 23(8), pp. 10145-10157 (2015)

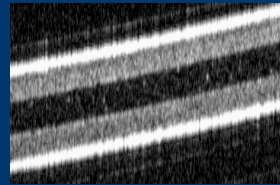


Importance of Sensitivity

Ultra-high resolution 810nm OCT



930nm OCT
=> not all the layers are seen (3 instead of 5 layers)



What resolution do you need?

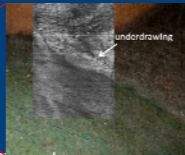
- Depends on the features you want to image
- High resolution is not always the best - if the features of interest is diffuse, high resolution may not be the best

Importance of wavelength for depth of penetration

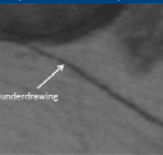
- Need to find optimum spectral band for OCT



930nm OCT image overlaid



InGaAs NIR camera (900-1700 nm)



After Raphael, *The Madonna and Child* (NG 929)
probably before 1600, Oil on wood, 87 x 61.3 cm

Liang et al. Applied Physics B, 2013

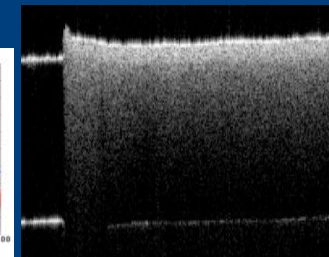
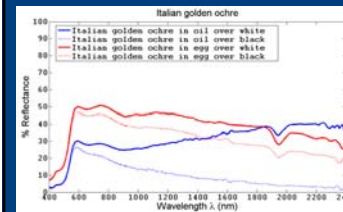


Multiple Scattering masks layers

Transparent at 1300nm, but multiple scattering masked the layer

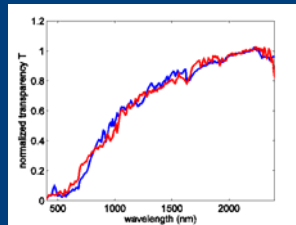


1300 nm



Optimum Spectral Window for OCT imaging: 2.2 μm

- Scattering coefficient decreases with increasing wavelength
- Copper-based pigments, azurite, malachite and verdigris, have minimum transparency corresponding to absorption troughs between 0.7 and 1.0 μm ;
- Cobalt pigments have minimum transparency corresponding to the broad absorption trough at 1.3–1.6 μm



Median spectral transparency normalized at 2.2 μm for pigments in use before the 19th century but excluding lake pigments.
 Blue – oil paint
 Red – egg tempera paint

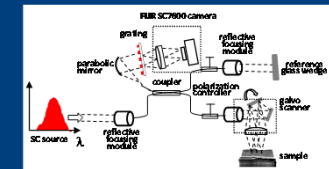
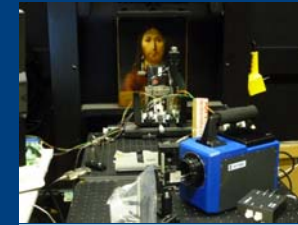
Liang et al. Applied Physics B, 2013



High resolution Fourier domain OCT at 1960 nm

- FDOCT using FLIR InSb camera (640x512 pixels) as detector
- Axial resolution ~ 6 microns (in polymer)
- Incident power 1-2 mW
- Fast frame rate (2.7kHz) using 4x640 pixels

=> 6mm x 6mm area in 2 mins

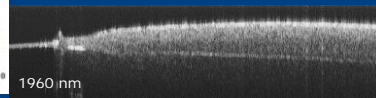
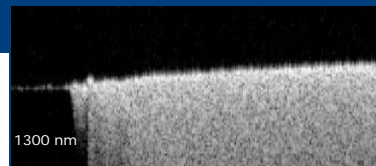
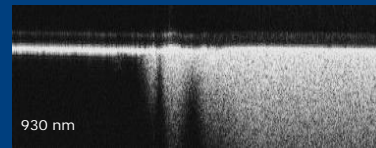
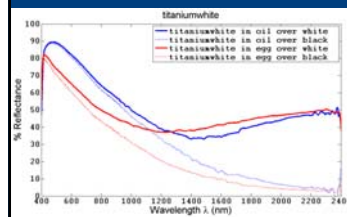


Cheung et al., Optics Express, Vol. 23(3), pp. 1992-2001 (2015)

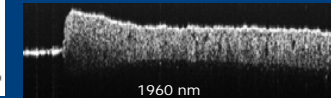
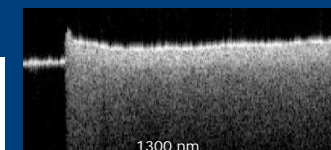
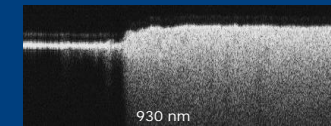
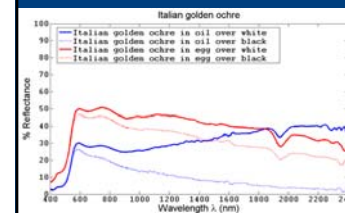
Liang et al. SPIE Vol. 9527, 952705 (2015)

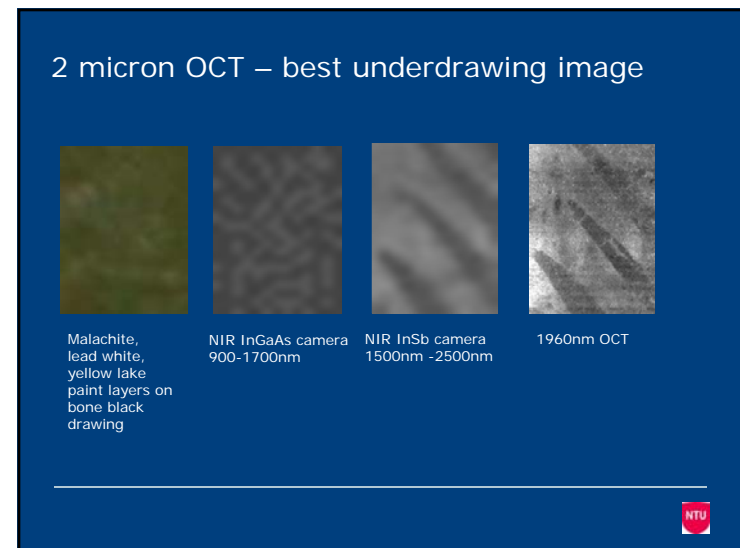
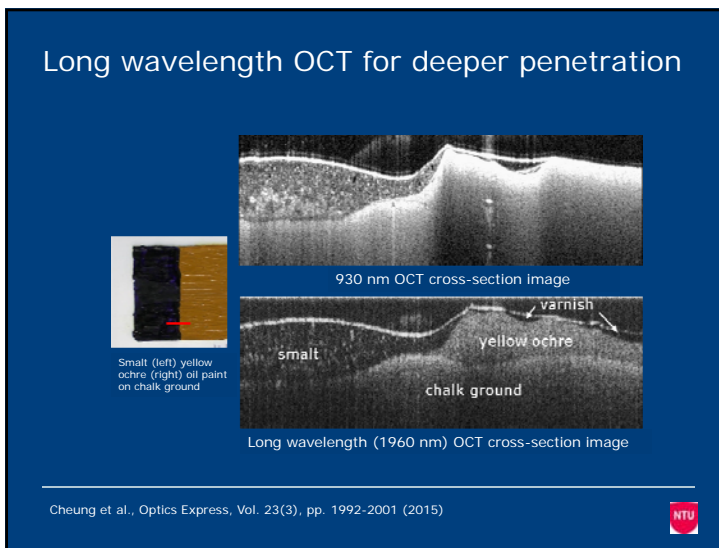
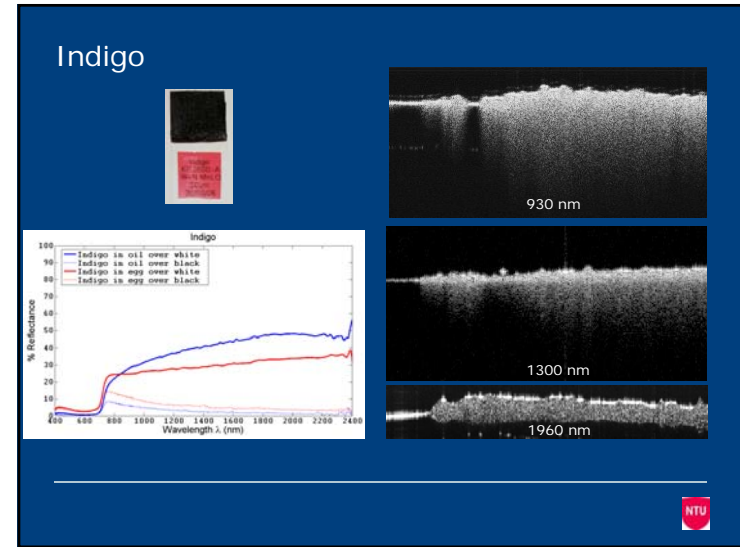
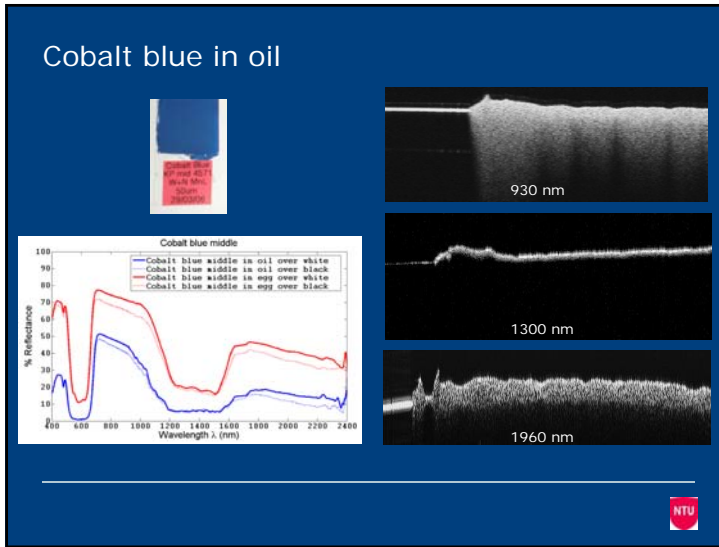


Ti White



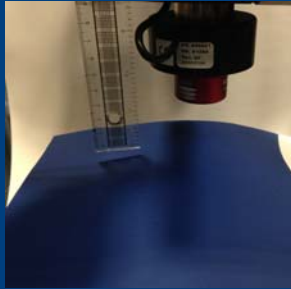
Italian golden Ochre



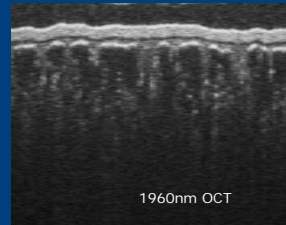


Cheung et al., Optics Express, Vol. 23(3), pp. 1992-2001 (2015)

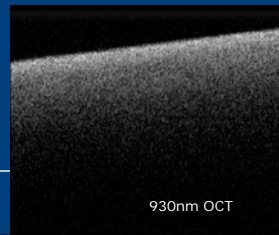
Composite textile



Working distance of 4cm



1960nm OCT



930nm OCT



Which wavelength do you need?

- Long wavelength for deeper penetration into highly scattering material
- Shorter wavelength for transparent material that require high resolution



References

- Free download of full text for all the papers published by my group <http://lr.ntu.ac.uk/rpd/researchpublications.php?pubid=18ea0ae3-8395-4d09-b763-637da94f532a> or go to my web profile <https://www.ntu.ac.uk/staff-profiles/science-technology/haida-liang> and click on 'go to Haida Liang's publications'
- For all OCT publications in heritage science see <http://www.fizyka.umk.pl/~oct4art/>



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